

SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional)

Date:

IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.

ORGANIZATION

FILING AS A: **DCM** **SEF** **DCO** **SDR** **ECM/SPDC**

TYPE OF FILING

• **Rules and Rule Amendments**

- Certification under § 40.6 (a) or § 41.24 (a)
- “Non-Material Agricultural Rule Change” under § 40.4 (b)(5)
- Notification under § 40.6 (d)
- Request for Approval under § 40.4 (a) or § 40.5 (a)
- Made Available To Trade Determination under § 40.5 or § 40.6
- Advance Notice of SIDCO Rule Change under § 40.10 (a)

• **Products**

- Certification under § 40.2 (a) or § 41.23 (a)
- Submission under § 39.5
- Swap Class Certification under § 40.2 (d)
- Request for Approval under § 40.3 (a)
- Novel Derivative Product Notification under § 40.12 (a)

RULE NUMBERS

DESCRIPTION



February 26, 2014

BY ELECTRONIC MAIL: submissions@cftc.gov

Melissa Jurgens
Office of the Secretariat
U.S. Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: Submission 02-14: Certification Pursuant to Commission Rule 40.6 – Withdrawal of Interest Rate Swaps (IRS) Product Certification Made on September 30, 2013

Dear Ms. Jurgens:

Pursuant to Section 5c(c) of the Commodity Exchange Act (the “Act”) and Section 40.2(d) of the regulations of the Commodity Futures Trading Commission (the “CFTC” or “Commission”), SwapEx, LLC (“SwapEx”), as operator of a swap execution facility, submitted (under Submission 01-13) to the Commission a certification (the “Certification”) regarding a class of certain interest rate swaps referencing the three-month U.S. dollar London Interbank Offered Rate (“LIBOR”). In accordance with Section 40.6(a) of the regulations of the Commission, SwapEx hereby withdraws the Certification. This withdrawal of the Certification will become effective on February 28, 2014. SwapEx certifies that this withdrawal complies with the CEA and Commission Regulations thereunder and that this product has no open interest. SwapEx additionally certifies that it has concurrently posted a copy of this submission letter on SwapEx’s website at <http://www.swapex.com/swapex/rules-policies-and-notice/recent-rule-filings-cftc/> and will be deleting this set of product specifications from the SwapEx website. There were no substantive opposing views to the withdrawal of the Certification.

In the event that you have questions, please contact the undersigned at (212) 259-3025 or BJRegan@statestreet.com.

Sincerely,

A handwritten signature in blue ink that reads "Brian J. Regan".

Brian J. Regan
Chief Compliance Officer

cc: Jonathan Lave, Division of Market Oversight
Abigail Knauff, Division of Market Oversight