



October 15, 2013

To: SwapEx Participants  
From: SwapEx, LLC

Re: Instructions for submitting confirmations

On October 4, we provided you with a letter stating, among other things, that SwapEx intended to avail itself of the CFTC's no-action relief from the requirements to provide confirmations set forth in No Action Letter No. 13-58 (the "Confirmation Relief") for a period from October 2, 2013 until the Confirmation Relief expires on October 30, 2013 (the "Relief Period"). In that letter we requested that, in order to satisfy the CFTC's conditions for the Confirmation Relief, you make arrangements to provide SwapEx with a copy of the required transaction confirmation for each transaction entered into on SwapEx during the Relief Period. Therefore, during the Relief Period, for any non-cleared swap transaction you execute on SwapEx, please submit a copy of the transaction confirmation to [swapconfirmations@swapex.com](mailto:swapconfirmations@swapex.com).

Please refer any questions concerning this notice to Brian Regan, Chief Compliance Officer, at [bjregan@statestreet.com](mailto:bjregan@statestreet.com).

Sincerely,

SwapEx, LLC